Form PTO 1449 (Modified) ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SERIAL NO. 240186US0CONT **NEW APPLICATION APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Kazuhiko MAEKAWA, et al. FILING DATE **GROUP HEREWITH U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB DATE FILING DATE NAME INITIAL NUMBER CLASS CLASS IF APPROPRIATE AA 6,096,435 08/2000 MAEKAWA et al. ΑB AC ΑD ΑE AF AG ΑН ΑI ΑJ ΑK AL AM ΑN FOREIGN PATENT DOCUMENTS DOCUMENT DATE TRANSLATION COUNTRY NUMBER YES NO AO 01-256556 10/1989 Japan (w/English Abstract) AΡ 04-218548 08/1992 Japan (w/English Abstract) AQ 2000-34388 02/2000 Japan (w/English Abstract) AR WO 01/07518 02/2001 WIPO (w/English Abstract) AS JP 2000007860 01/2000 Japan (Abstract) ΑT JP 060493150 02/1994 Japan (Abstract) ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AXAY ΑZ Additional References sheet(s) attached Examiner **Date Considered** *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



240186US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kazuhiko MAEKAWA, et al.

SERIAL NO:

10/616,907

GAU:

FILED:

July 11, 2003

EXAMINER:

FOR:

AQUEOUS DISPERSION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTANT, P.C.

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> Rohitha Jayasuriya Registration No. 50,385

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